

Annexure- V

Social Development Component

The Social Development Component will include Social Safeguard, and Community Engagement. The tasks of the Social Development Component will be managed by a Social Development Expert/Coordinator, recruited and posted within the **PMU**. The Social Development Expert/Coordinator will be supported by a Communication Specialist at the **PMU** level.

Social Safeguard

As required by the Bank's ESP for Category 'A' projects, an Environmental and Social Management Framework (ESMF) is being developed for the entire project comprising of 50 ULBs. The ESMF will also have a generic Environmental and Social Management Plan (ESMP). The ESMF will provide guidance on preparation of Environmental and Social Impact Assessments (ESIA) for the ULBs and develop location specific ESMPs.

All environmental and social risks and their mitigation measures will be mentioned in the ESMF. To address issues of land acquisition, physical and economic displacements, either of temporary or permanent nature, guidance will be provided through a Resettlement Policy Framework (RPF). Aspects of social risks due diligence and preparation of the Social Impact Assessment/ Social Management Plan will be supported under this component. The PMU will have budget to conduct the SIA & SMP at the ULB which will be implemented by the ULB¹.

Community Engagement

The Community Engagement component will address the tasks, as listed below:

- a) Communication Strategy and Communication Action Plan
- b) Gender Action Plan
- c) Community Participation and
- d) Supervision of the implementation of the SMP (Social Management Plan)

The Planning for the above said activities will be conducted by the Social Development Coordinator at the PMU level and the Plans will be executed at the ULB level with the help of Social Development Unit. For implementing these activities at the ULB level, the Social Development Coordinator will recruit appropriate local Experts or NGOs.

The Scope of Work for under the Social Development Component is given below:

Task 1: Safeguard

1. Hire appropriate local Experts or NGOs for implementing the safeguard functions for the project

¹ The PMC will cover aspects of Environmental Safeguard. A qualified Environmental Specialist will be engaged under the scope of PMC. The job description of the Environmental Specialist is elucidated in PMC. Both the Social and Environment Specialists will be guided by the ESMF (and the ESMP). Evidently, both the Social and Environment Specialists will have to work in close coordination, sharing information and progress on the implementation of the Management Plans. A formal mechanism will be worked out to ensure that both the Social and Environmental Specialists meets at least once in a week to discuss issues pertaining to the implementation of the ESMP generally and the GRM (Grievance Redress Mechanism) specifically.

2. Review the ESMP and develop the SIA (Social Impact Assessment) for every new ULB (Urban Local Body) where project will be implemented
3. Ensure that the Social Impact Assessments are conducted appropriately, land is secured by the ULBs for project implementation and carefully identify issues of land acquisition, displacements, and encroachments if any and ensure application of the Resettlement Policy Framework (RPF)
4. Work out Resettlement Action Plans (RAP) in case of displacement of title-holders and non-title-holders
5. Work closely with the APUFIDC, ULB and PHED to ensure the RAPs are effectively implemented
6. Identify Tribal Populations, if any, within the ULBs and apply the Tribal People's Planning Framework (TPPF) for consultation and delivery of services
7. Identify Vulnerable People in the jurisdiction of the ULBs and assess how this sub section of the population is impacted by the project and work out remedial measures
8. Work closely with the APUWSSIP, ULB and PHED to ensure the GRMs are functional and community can access the GRMs easily
9. Identify any CSO in the district which has adverse opinion on the project and engage with the CSO to address the concerns
10. Review the Stakeholder's Management Plan (SMP) developed in the ESMP and develop ULB specific SMPs with a schedule for stakeholders' consultation with a timeline

Task 2: Communication

1. Develop a Communication Strategy to identify different population groups within the user community inside the ULBs; the population groups should be homogenous within themselves.
2. Conduct a baseline survey to understand behavior pattern of the population groups in terms of (a) access to basic amenities, (b) social status, (c) patterns of water consumptions, (d) propensity to pay for services, (e) sanitation habits, (f) personal hygiene habits, (g) domestic and environmental hygiene habits, (h) key influencers, (i) attitude towards solid waste management and general cleanliness, (j) public health security and vulnerability towards water borne diseases and (k) aspirations pertaining to clean environment and easy access to safe water and sanitation facilities
3. Based on the above information develop a Communication Strategy focusing on the Stakeholders with the primary objectives of (a) better use of the services provided under the project, (b) responsible use of the services provided under the project, (c) equitable distribution of project benefits, (d) address the concerns of people adversely impacted by the project or unserved by the benefits of the project
4. One of the objectives of the Communication Strategy will be to involve all Stakeholders from the Social, Political and Religious realms of life and identify Champions within this groups to lead the projects in the ground

Task 3: Gender Action Plan

1. The Gender Action Plan will emerge from a Gender Engagement Analysis; Consultations (Focused Group Discussions) needs to be carried out with different Women's groups, the Self-Help Groups (SHGs) and women who are not formally organized (neighborhood based peer groups) to understand their (1) understanding of the project and benefits accrued out of it, (2) aspirations relating to project benefits, (3) any concerns regarding the project, (4) willingness to contribute to project implementation, and (5) willingness to contribute to sanitation and hygiene, with particular reference to Solid Waste Management
2. Based on the above information, a Gender Action Plan will be prepared to address the (a) aspirations, (b) concerns and (c) scope for engagement of the women in different project

components, particularly, (i) responsible consumption of water, (ii) protection of WaSH assets, (iii) safe handling of water, (iv) monitoring of project benefits, with respect to adequacy and equity, (v) solid waste management

To achieve the above Tasks (1, 2 & 3) the Social Development Expert/Coordinator with approval of the APUWSSIP will engage a NGO in every Circle to discharge the above activities. Essentially, a Work Plan and a Responsibility Chart will be developed for the NGO indicating every activity, deliverable and timeframe for completion of the work. The Development Expert/Coordinator will engage heavily with the NGO and with the Community to discharge the above responsibilities.

The Communication Strategy and the Gender Action Plan will be developed at the state level and a working Action Plan for Communication and Gender Actions will be prepared at the ULB level.

Sl. no.	Designation	Number	Rate/ month	Months	Total
Social Development Unit (SDU)					
1	Conducting Communication Strategy and Action Plans		1,000,000	Lumpsum	10,00,000
2	Conducting Gender Action Plan		500,000	Lumpsum	5,00,000
3	Provisions for engaging Experts for Social Development Activities		2,000,000	Lumpsum	20,00,000
4	Travel Expenses	2	50,000	30	30,00,000
5	Engagement of Experts/ Institutions for conducting SIA/SMP	50	200,000 per ULB		1,00,00,000
<i>Engagement of NGO at Circle level</i>					
6a	Social Coordinator at Circle level	5	30,000	30	45,00,000
6b	Filed Workers (3persons per circle)	15	15 persons @ Rs.15,000	30	67,50,000
	Travel Expenses	5	50,000	30	75,00,000
6c	Communication materials, Documentation & other Misc.	5	50,000	30	75,00,000
	Total				4,27,50,000
	Say				4,28,00,000

One NGO per Circle will be engaged for 30 months. The NGO will cater to all ULBs in the district